SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average b	urden
hours per response:	0.5

Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	pursuant to Section 16(a) of the Securities Exchange Act of 1934						
1. Name and Address of Reporting Person* Loretz Congdon Stacy	2. Issuer Name and Ticker or Trading Symbol FARMER BROTHERS CO [FARM]	5. Relationship of F (Check all applicab	Reporting Person(s) to Issuer ble)					
Loreiz Conguon Stacy		X Director	10% Owner					
(Last) (First) (Middle FARMER BROS, CO.	3. Date of Earliest Transaction (Month/Day/Year) 03/17/2020	 Officer (gives below) 	ve title Other (specify below)					
1912 FARMER BROTHERS DRIVE								
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Join Line)	nt/Group Filing (Check Applicable					
(Street)		l í	by One Reporting Person					
NORTHLAKE TX 76262		Form filed Person	by More than One Reporting					
(City) (State) (Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	03/17/2020		Р		2,500	A	\$7.7041 ⁽¹⁾	9,348	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of		6. Date Exerc Expiration Da (Month/Day/\	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$7.69 to \$7.706, inclusive. The reporting person undertakes to provide to Farmer Bros. Co., any security holder of Farmer Bros. Co., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote to this Form 4.

Remarks:

Jennifer Brown, Attorney-In-

Fact for Stacy Loretz-Congdon

** Signature of Reporting Person Date

03/19/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See