SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

ASSADI HAMIDEH	. Date of Event Requiring Statem Month/Day/Year) 13/01/2019	ent 📘		er Name and Ticker or Trac MER BROTHERS		RM]			
(Last) (First) (Middle) FARMER BROS CO.			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				5. If Amendment, Date of Original Filed (Month/Day/Year)		
1912 FARMER BROTHERS DRIVE			Officer (give title Other (specify below) below)			cify	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) NORTHLAKE TX 76262							X		y One Reporting Person y More than One erson
(City) (State) (Zip)									
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, \$1.00 par value			6,522		D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
Expirat		Date Exercisable and opiration Date lonth/Day/Year)		itle and Amount of Securi lerlying Derivative Securit	ty (Instr. 4) Conve or Exe		rcise Form:		6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Expiration Date	Title	9	Amount or Number of Shares	Price o Deriva Securi	tive	or Indirect (I) (Instr. 5)	

Explanation of Responses:

Remarks:

/s/ Thomas J. Mattei Jr.,

Attorney-In-Fact for Hamideh03/05/2019Assadi** Signature of Reporting PersonDate

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.