Instruction 1(b).

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>BAUER THOMAS E.</u>						2. Issuer Name and Ticker or Trading Symbol FARMER BROTHERS CO [ FARM ]									heck all app Direc	tor	ng Pei	10% Ov	vner
(Last) 1912 FA	,	rst) (I	Middle) E			3. Date of Earliest Transaction (Month/Day/Year) 11/13/2023									A belov	er (give title v) e <mark>Presiden</mark>	t, He	Other (s below) ad of DSI	·
	Street) NORTHLAKE TX 76762			4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									ne) X Form Form	'				
(City) (State) (Zip) Rule 10b5-1(c) Transaction  Check this box to indicate that a transaction satisfy the affirmative defense conditions of the conditions of the conditions of the condition of										saction was mons of Rule 10	nade pu 0b5-1(	ursuan (c). See	e Instru	iction 10.		ten pla	ın that is inter	nded to	
		Table	I - No	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	, or	Ben	eficia	ally Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day				y/Year) Exec		Deemed cution Date, ny onth/Day/Year)		3. 4. Securitie Transaction Disposed C Code (Instr. 8) 5)			es Acquired (A) Of (D) (Instr. 3,		(A) or 3, 4 ar	nd Securi Benefi Owned	ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A (D	A) or D)	Price		action(s) 3 and 4)			(Instr. 4)	
Common Stock 11/13/2					2023				A		45,000 <sup>(1</sup>	1)	A	\$0	5.	54,980		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		,	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
			Code		v	(A)	(D)			Expiration Date	Title	or Nur of	ount nber ıres						

## **Explanation of Responses:**

1. Represents a grant of restricted stock units ("RSUs") under the Farmer Bros. Co. 2017 Long-Term Incentive Plan (the "Plan"), which were granted effective November 13, 2023 ("Grant Date"). The RSUs will vest in three equal installments on each of the first three anniversaries of the Grant Date, beginning on November 13, 2024, and will settle in common stock upon vesting, subject to the reporting person's continued service to the Company and the acceleration provisions of the Plan and RSU grant agreement.

## Remarks:

/s/ Jared Vitemb, Attorney-infact for Thomas E. Bauer

11/14/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.