

| OMB APPROVAL | |
|--|-----------|
| OMB Number: | 3235-0104 |
| Estimated average burden hours per response: | 0.5 |

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | |
|--|---|--|--|
| 1. Name and Address of Reporting Person* <u>Bollner Brad</u> (Last) (First) (Middle) 1912 FARMER BROTHERS DRIVE (Street) NORTHLAKE TX 76262 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 10/01/2023 | 3. Issuer Name and Ticker or Trading Symbol <u>FARMER BROTHERS CO [FARM]</u> | |
| | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) Interim CFO | 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 11,718 ⁽¹⁾ | D | |
| Common Stock | 1,639.787 | I | Held in the Company's 401(k) Plan |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---------------------------|---|--|--|---|
| | Date Exercisable | Expiration Date | | | | |
| Cash-Settled Restricted Stock Units | 11/01/2023 ⁽²⁾ | 11/01/2025 ⁽²⁾ | Common Stock 11,718 | 0 ⁽²⁾ | D | |

Explanation of Responses:

- Represents a grant of restricted stock units ("RSUs") under the Farmer Bros. Co. 2017 Long-Term Incentive Plan (the "Plan"), which were granted on November 1, 2022 ("Grant Date"). The RSUs will vest in three equal annual installments on each of the first three anniversaries of the Grant Date, beginning on November 1, 2023, and will settle in common stock upon vesting, subject to the reporting person's continued service to the Company and the provisions of the Plan and RSU grant agreement.
- Represents a grant of cash based restricted stock units ("CRSUs") under the Farmer Bros. Co. 2017 Long-Term Incentive Plan (the "Plan"), which were granted on November 1, 2022 ("Grant Date"). The CRSUs will vest in three equal annual installments on each of the first three anniversaries of the Grant Date, beginning on November 1, 2023, and will settle solely in cash upon vesting. Vesting is subject to the reporting person's continued service to the Company and the provisions of the Plan and CRSU grant agreement. Each CRSU is the economic equivalent of one share of common stock of the Company.

/s/ Jared Vitemb, Attorney-in-fact for Brad Bollner 10/03/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.